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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#41 Reg for  
Refund  
04-06-02

In re the Application of:

Inventor: Heijiro OJIMA, et al

Serial No.: 09/990,857

Filed: November 15, 2001

For: METAL LUBRICANTS  
CONTAINING A BRIDGE COMPLEX

Group Art Unit: 1764

Examiner: to be assigned

**REQUEST FOR REFUND PURSUANT TO 37 CFR §§ 1.26, 1.28(a);  
ASSERTION OF SMALL ENTITY STATUS PURSUANT TO § 1.27(c)(2)(i)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION:** Refund Section  
Financial Accounting Division  
Office of Finance

Sir:

Applicants respectfully request a refund of a portion of the fees paid in connection with the "NEW APPLICATION TRANSMITTAL - UTILITY" that was filed on November 15, 2001 in respect to the present application. A deposit account charge of \$1,172.00 was authorized in this application transmittal, based on non-small entity status, and it is understood that this amount was in fact charged to the deposit account of the undersigned attorney of record on November 27, 2001.

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

January 30, 2002  
Date of Deposit

Rowena R. Estrada

Name of Person Mailing Paper

Signature of Person Mailing Paper

The undersigned attorney of record, pursuant to 37 CFR § 1.27(c)(2)(i), now asserts that the applicants to the present patent application are entitled to small entity status.

Accordingly, a refund of **\$586** is requested, based on the small entity status now asserted in respect to this application. This request is timely under 37 CFR § 1.28(a), in that it is filed within three months of the original payment.

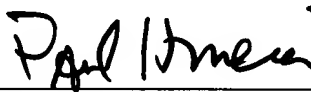
Please credit the undersigned attorney's **Deposit Account No. 12-2475** for the amount of the refund. Your attention to this is gratefully appreciated.

Respectfully submitted,

LYON & LYON LLP

Dated: January 30, 2002

By: \_\_\_\_\_

  
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